

DOCKET NO.: C1039.70083US05

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Krieg et al.

Serial No.:

10/789,536

Confirmation No.:

9640

Filed:

February 26, 2004

For:

IMMUNOMODULATORY OLIGONUCLEOTIDES

Examiner:

Nita M. Minnifield

Art Unit:

1645

### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 25<sup>th</sup> day of October, 2006.

Emily E, Jukauskas

MAIL STOP AMENDMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Information Disclosure Statement
- PTO Form 1449 with cited references
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

Helen C. Lockhart, Ph.D., Reg. No.: 39,248

Wolf, Greenfield & Sacks, P.C.

600 Átlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: C1039.70083US05

Date: October 25, 2006

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Emily £. Zukauskas

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

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### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Serial No.	Filing Date	Inventor(s)	Docket No.
10/532,746	09-09-2005	Ahluwalia et al.	*C1037.70035US01
10/811,226	03-26-2004	Wagner et al.	*C1041.70005US01
11/179,008	07-08-2005	Hartmann et al.	*C1039.70044US02
11/411,975	04-26-2006	Uhlmann et al.	*C1041.70045US01
11/503,377	08-11-2006	Krieg et al.	*C1039.70061US01
11/503,483	08-11-2006	Krieg et al.	*C1039.70048US22
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11/526,197	09-22-2006	Krieg et al.	*C1039.70048US23
11/526,896	09-22-2006	Bratzler et al.	*C1037.70013US03
11/542,845	10-04-2006	Krieg et al.	*C1037.70048US01
11/543,314	10-04-2006	Lipford et al.	*C1041.70036US02

<sup>\*</sup>A copy of this reference is not provided as the Office has waived the requirement under 37 C.F.R. 1.98(a)(2)(iii) for submitting a copy of a cited U.S. patent application if it is scanned to the Image File Wrapper system and is available on Private PAIR.

### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims; 1097887.1

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2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Helen C. Lockhart, Ph.D., Reg. No. 39,248

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: C1039.70083US05

Date: October 25, 2006

**xNDDx** 

FORM PTO-1449/A and B (modified PTO/SB/08)  INFORMATION DISCLOSURE  STATEMENT BY APPLICANT	APPLICATION NO.: 10/789,536	ATTY. DOCKET NO.: C1039.70083US05	
,	FILING DATE: February 26, 2004	CONFIRMATION NO.: 9640	
	APPLICANT: Krieg et al.		
Shept Of 7	GROUP ART UNIT: 1645	EXAMINER: Nita M. Minnifield	

OCT 2 7 2000	E E	<b>U.S.</b> 1	PATENT DOCUMENTS	
Examine Property Citator Initials #	U.S. Patent Docum	ent Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
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EXAMINER:	DATE CONSIDERED:
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<sup>#</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1	449/A and B (modified PTO/SB/08)	APPL	ICATION NO.: 10/789,536	ATTY. DOCKET NO.: C1039.70083US03
INFORMATION DISCLOSURE		FILIN	G DATE: February 26, 2004	CONFIRMATION NO.: 9640
	MENT BY APPLICANT	APPL	ICANT: Krieg et al.	
Sheet	2 of 7	GROU	JP ART UNIT: 1645	EXAMINER: Nita M. Minnifield
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EXAMINER:	DATE CONSIDERED:
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<sup>#</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449/A and	B (modified PTO/SB/08)	APPLI	CATION NO.: 10/789,536	ATTY. DOCKET NO.: C1039.70083US03		
INFORMATION DISCLOSURE		FILING	FILING DATE: February 26, 2004 CONFIRMATION NO.: 9640			
	BY APPLICANT	APPLI	CANT: Krieg et al.			
Sheet 3	of 7	GROU	P ART UNIT: 1645	EXAMINER: Nita M. Minnifield		
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FORM PTO	1440/A and B	(modified I	PTO/SB/08)	APPLIC	ATION NO.: 10/789,536	ATTY. DOG	CKET NO.: C1039.70083US05	
FORM PTO-1449/A and B (modified PTO/SB/08)  INFORMATION DISCLOSURE		FILING	DATE: February 26, 2004	CONFIRMA	ATION NO.: 9640			
	EMENT B			APPLIC	ANT: Krieg et al.			
Sheet	4	of	7	GROUP	GROUP ART UNIT: 1645 EXAMIN		ER: Nita M. Minnifield	
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A1

Al

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Examiner's Cite		Foreign Patent Document		ent	Name of Potentee or Applicant of Cited	Date of Publication of	Translation
Initials # No.	Office/ Country	Number	Kind Code	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	(Y/N)	
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449/A and B (modified PTO/SB/	APPLICATION NO.: 10/789,536	ATTY. DOCKET NO.: C1039.70083US05
INFORMATION DISCLOSUR	FILING DATE: February 26, 2004	CONFIRMATION NO.: 9640
STATEMENT BY APPLICAN		
Sheet 5 of 7	GROUP ART UNIT: 1645	EXAMINER: Nita M. Minnifield

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
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EXAMINER:	DATE CONSIDERED:			

<sup>#</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449/A and B (modified PTO/SB/08)			APPLICATION NO.:	10/789,536	ATTY. DOCKET NO.: C1039.70083US05	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: February 26, 2004		CONFIRMATION NO.: 9640		
		APPLICANT:	Krieg et al.			
		GROUP ART UNIT:	1645	EXAMINER: Nita M. Minnifield		
Sheet	6	of	7	OKOGI AKI OMI.	10-15	

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<sup>#</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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=	ON DISCLOSURE BY APPLICANT	APPLICANT: Krieg et al.				
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are included. See 37 CFR §1. provided as required by 37 C	98 and 1287OG163. Copies of all	l other patent(s), publication(s), unpublished, pend	ions stored in the USPTO's Image File Wrapper (IFW) system, ding U.S. patent applications, or other information listed are at complies with 37 CFR §1.98, and 2) the earlier application is			
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